

09/869614

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Wolfgang Nieuwkamp  
Serial No.: 09/869,614

Filed:  
Title: MEASURING SYSTEM FOR THE  
CONTROL OF RESIDUAL DUST IN  
SAFETY VACUUM CLEANERS

Group:  
Examiner:

International Application No.  
PCT/EP99/09728  
Filed: Dec. 10, 1999

ATTENTION: APPLICATION PROCESSING DIVISION  
SPECIAL PROCESSING AND CORRESPONDENCE BRANCH

SUBMISSION OF EXECUTED DECLARATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

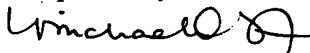
In response to the Notification of Missing Requirements mailed July 31, 2001, enclosed herewith is the signed and dated Declaration in the above-identified application together with a copy of Form PTO/DO/EO/905. Also enclosed is a verified translation of the application as originally filed.

Submitted herewith is a check in the amount of \$260.00, to cover the late Declaration and translation surcharge. If the enclosed remittance is insufficient, the Commissioner is authorized to charge payment of the following fees during pendency of this application or credit any overpayment to Deposit Account No. 02-0385 BAKER & DANIELS:

1. Any additional fees required under 37 CFR 1.16.
2. Any patent application processing fees under 37 CFR 1.17
3. Any filing fees under 37 CFR 1.16 for presentation of extra claims

It is submitted that with the filing of the above documents, the application is complete and may be submitted for examination by the Patent and Trademark Office.

Respectfully submitted,



Michael D. Smith  
Registration No. 40,181  
Attorney for Applicants

08/09/2001 MKAYPAGH 00000071 09869614

01 FC:154 130.00 OP  
02 FC:156 130.00 OP

MDS:pmg

BAKER & DANIELS  
111 EAST WAYNE STREET, SUITE 800  
FORT WAYNE, IN 46802  
TELEPHONE: 219-424-8000  
FACSIMILE: 219-460-1700

Enc.

Declaration  
Form PTO/DO/EO/905  
Return Postcard

"EXPRESS MAIL" MAILING LABEL NUMBER EL731283266US  
DATE OF DEPOSIT August 7, 2001  
I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, DC 20231.

Patrice Michele Potter

(Typed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869614	NIEUWKAMP	W RBL0079
INTERNATIONAL APPLICATION NO.		
PCT/EP99/09728		
I.A. FILING DATE	PRIORITY DATE	
10 DEC 99	08 JAN 99	
DATE MAILED:		
31 JUL 2001		

JOHN F HOFFMAN  
BAKER & DANIELS  
111 EAST WAYNE STREET SUITE 800  
FORT WAYNE, IN 46802

BAKER & DANIELS

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- |  |   |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.   | <input type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input type="checkbox"/> Other:   |
| <input checked="" type="checkbox"/> Priority Document.   |   |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |   |
- REPLY DUE: Dec 1  
Aug. 31, 2001  
DOCKET 1 ☒ DOCKET 2 ☒
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- |   |   |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☒ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.
- ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

- Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

FORM PCT/DO/EO/905 (March 2001)

Winston M Alvarado  
Telephone: 703-305-6421



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

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JOHN F HOFFMAN  
BAKER & DANIELS  
111 EAST WAYNE STREET SUITE 800  
FORT WAYNE, IN 46802

DATE MAILED: 31 JUL 2001

**NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION**

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371(c)(4) for entry into the national stage in the United States of America. The period within which to correct the deficiency noted below and avoid abandonment is set in the accompanying Notification.

A new oath or declaration, properly identifying this application (preferably by the international application number and international filing date) is required. The oath or declaration does not comply with 37 CFR 1.497(a),(b) and (f) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the application to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state that the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b), AND 1.497(d) WHERE APPROPRIATE, WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the mailing address of each inventor. If the residence is different from the mailing address, then the city and state or city and foreign country of residence of each inventor must also be given.
2. ☐ does not state that the person making the oath or declaration:
  - a. ☐ has reviewed and understands the contents of the application, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
  - b. ☐ acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate for which a claim for priority is made pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.

Winston M Alvarado

Telephone: 703-305-6421

I, Peter Nelles, do hereby certify that I am an experienced translator, knowledgeable in both the English and German languages, that I have made the attached translation from German to English Patent Application PCT/EP99/09728 as well as amended claims and correspondence relating thereto, and that, to the best of my knowledge and belief, it is a true and complete rendering into English of the original document in German.

A handwritten signature in black ink, appearing to read "Peter Nelles", written over a horizontal line.

Date: July 31, 2001